

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#6

Applicant : Brian Jacoby et al.	Art Unit : 2153
Serial No. : 09/894,918	Examiner : Unknown
Filed : June 29, 2001	
Title : DEEP PACKET SCAN HACKER IDENTIFICATION	

Commissioner for Patents  
Washington, D.C. 20231

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Please correct the Filing Receipt for the above-referenced application to include the residence addresses for the inventors, *i.e.*, Brian Jacoby, Reston, VA and Christopher J. Wright, Leesburg, VA. Please also correct the domestic priority information as indicated on the attached marked-up copy. The Combined Declaration and Power of Attorney is being filed concurrently herewith for verification purposes.

Please supply a corrected Filing Receipt to the undersigned with respect to this application. A copy of the original Filing Receipt showing the desired changes in red ink is attached for your convenience.

No fee is believed to be due. If, however, there are any charges or credits, please apply them to Deposit Account No. 06-1050.

Respectfully submitted,

Date: September 26, 2001



\_\_\_\_\_  
W. Karl Renner  
Reg. No. 41,265

Fish & Richardson P.C.  
601 Thirteenth Street, NW  
Washington, DC 20005  
Telephone: (202) 783-5070  
Facsimile: (202) 783-2331

WKR  
JFK



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/894,918	06/29/2001	2153	0.00	06975-203001	8	57	3

CONFIRMATION NO. 5947

FILING RECEIPT



\*OC000000006462072\*

W. KARL RENNER  
Fish & Richardson P.C.  
601 Thirteenth Street, NW  
Washington, DC 20005

Date Mailed: 08/23/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Brian Jacoby, ~~Residence Not Provided~~; **Reston, VA**  
Christopher J. Wright, ~~Residence Not Provided~~; **Leesburg, VA**

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/666,140 09/20/2000  
WHICH CLAIMS BENEFIT OF 60/227,309 08/24/2000  
AND CLAIMS BENEFIT OF ~~60/276,423~~ 03/26/2001

**60/278,422**

Foreign Applications

If Required, Foreign Filing License Granted 07/26/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

Deep packet scan hacker identification

Preliminary Class

* No Docketing Required *
Reviewed By Practice Systems Initials: <u>WKR</u>
Reviewed By Billing Secretary Initials: _____

709

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Data entry by : GENET, YODIT

Team : OIPE

Date: 08/23/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

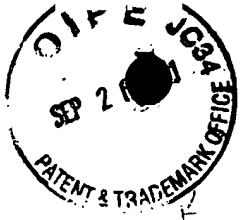
No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



SECTOR  
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Brian Jacoby et al.                      Art Unit : 2153  
Serial No. : 09/894,918                                  Examiner : Unknown  
Filed : June 29, 2001  
Title : DEEP PACKET SCAN HACKER IDENTIFICATION

**BOX MISSING PARTS**  
Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed August 23, 2001 (copy enclosed), applicant as a large entity submits herewith the following:

- Payment of the basic filing fee of \$710;
- Payment of the additional/multiple dependent claims fees of \$666;
- Check in payment of \$130 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- A Verified Statement Claiming Small Entity Status;
- Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;
- A verified English translation of the application and payment of the \$0 fee required under 37 CFR §1.17(k); and
- Other:


It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Applicant : Brian Jacoby et al.  
Serial No. : 09/894,918  
Filed : June 29, 2001  
Page : 2

Attorney's Docket No.: 06975-203001 / Security 14

Respectfully submitted,

Date: 9/26/2001

  
\_\_\_\_\_  
W. Karl Renner  
Reg. No. 41,265

Fish & Richardson P.C.  
601 Thirteenth Street, NW  
Washington, DC 20005  
Telephone: (202) 783-5070  
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40069549.doc

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/894,918	06/29/2001	Brian Jacoby	06975-203001

CONFIRMATION NO. 5947

FORMALITIES LETTER



\*OC00000006462073\*

W. KARL RENNER  
 Fish & Richardson P.C.  
 601 Thirteenth Street, NW  
 Washington, DC 20005

Date Mailed: 08/23/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$666.
  - \$666 for 37 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1506.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/28/2001 EABUBAK1 00000031 09894918

01 FC:101	710.00 OP
02 FC:103	666.00 OP
03 FC:105	130.00 OP